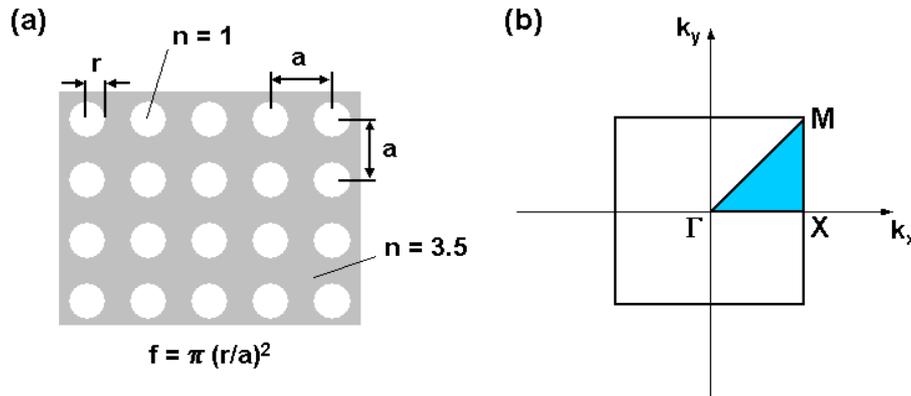


## Negative refraction in 2D photonic crystals

The objective is to study light propagation in a periodic medium where the periodicity is of the order of (or slightly smaller than) the wavelength. We consider a two-dimensional photonic crystal with a square lattice in the  $(x,y)$  plane and we limit the following study to the case where light propagates in the plane, i.e.,  $k_z = 0$  and the problem is invariant along the  $z$ -direction (this case does not encompass photonic crystal fibers).



Two-dimensional photonic crystal. (a) Square lattice (period  $a$ ) of air cylinders (radius  $r$ ) in a medium with a refractive index  $n = 3.5$ . The filling factor in air is denoted by  $f$ . (b) First Brillouin zone of the reciprocal lattice and definition of the reduced zone delimited by the points  $\Gamma$ ,  $X$  and  $M$ .

The relative permittivity describing the photonic crystal is a 2D periodic function that can be expanded in the Fourier basis,

$$\varepsilon(x, y) = \varepsilon(x + a, y + a) = \sum_{m,n} \varepsilon_{m,n} e^{imK_x x} e^{inK_y y},$$

where  $K_x = 2\pi/a$  et  $K_y = 2\pi/a$  are the basis vectors of the reciprocal lattice. We assume that the materials are non-magnetic.

### 1. Equations of propagation, Bloch modes

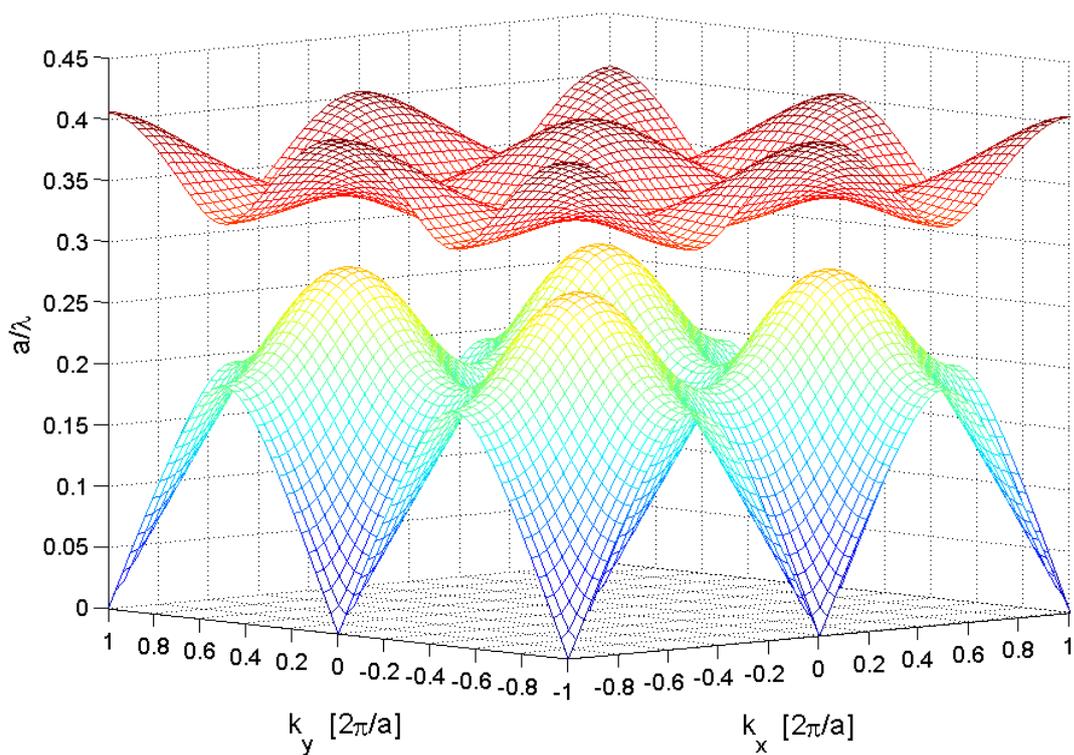
(a) Starting from Maxwell equations and using the  $z$ -invariance property of the structure ( $\partial/\partial z = 0$ ), show that two independent polarization cases can be considered; the TE polarization with an electric field parallel to the cylinders,  $\mathbf{E} = E_z \mathbf{u}_z$ , and the TM polarization with a magnetic field parallel to the cylinders,  $\mathbf{H} = H_z \mathbf{u}_z$ . Which are the three equations satisfied by the electromagnetic field for each polarization case ?

(b) Deduce the equations of propagation satisfied by  $E_z$  and  $H_z$  for TE and TM polarization.

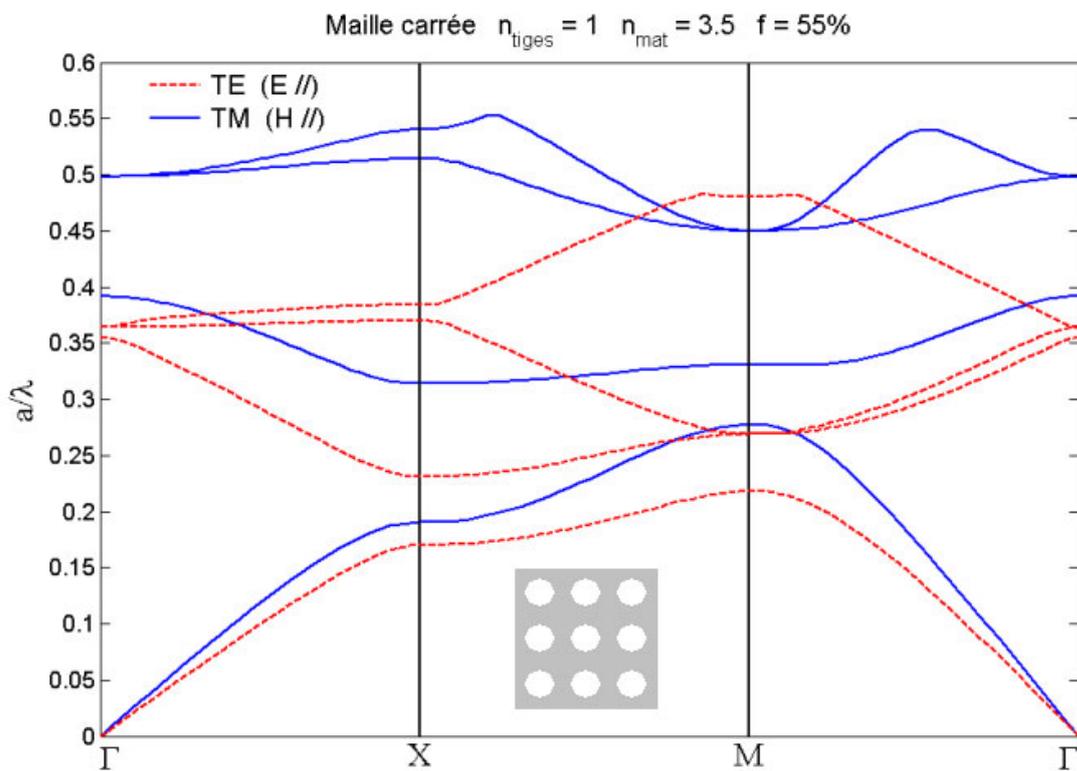
(c) Use Bloch theorem to write the expression of the field inside the photonic crystal.

### 2. Band diagram

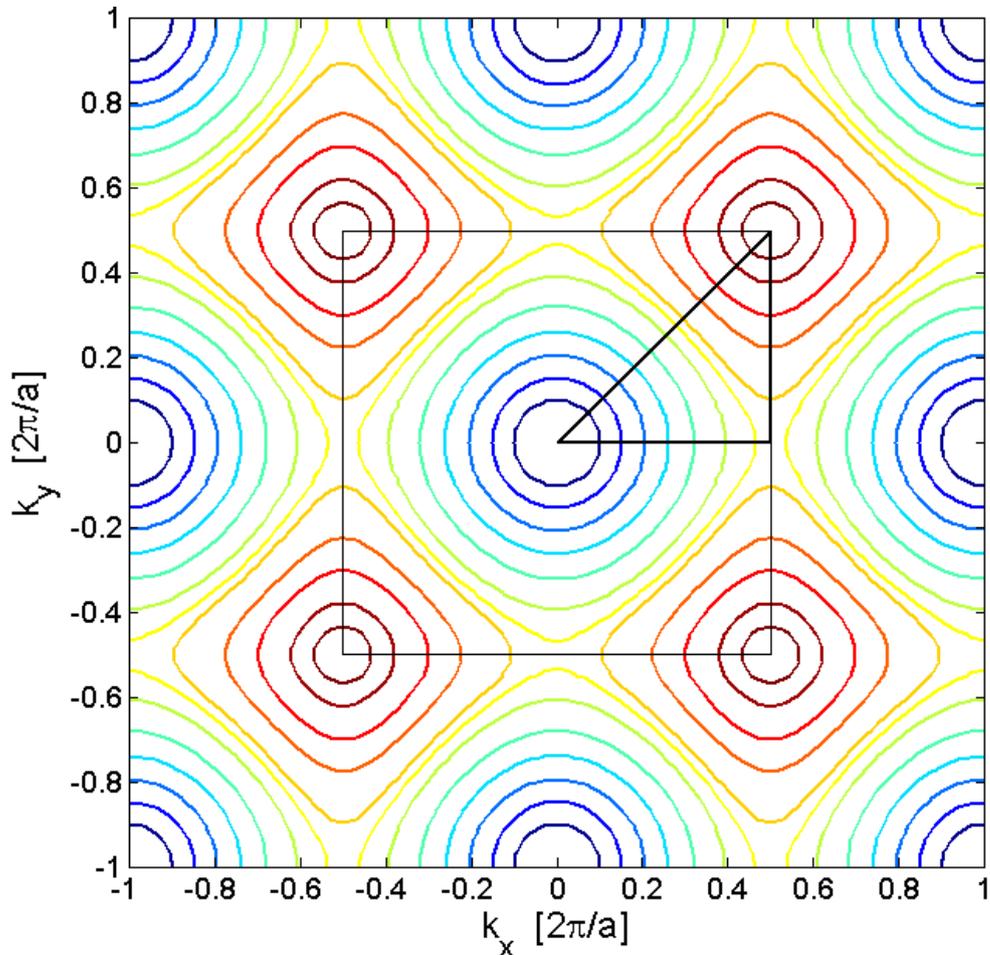
Writing the equation of propagation in the Fourier space leads to an eigenvalue problem for the frequency, as a function of fixed wavevectors  $k_x$  and  $k_y$ . Solving this eigenvalue problem gives the band diagram of the photonic crystal, i.e. the  $(\omega, k_x, k_y)$  triplets that correspond to Bloch modes propagating in the periodic medium.



Band diagram  $\omega(k_x, k_y)$  for TM polarization,  $f = 0.55$ . Only the two first bands have been represented.



Band diagram along the border of the reduced Brillouin zone for TM and TE polarizations,  $f = 0.55$ . The wvector  $(k_x, k_y)$  follows the highest symmetry directions  $\Gamma X$ ,  $XM$  and  $\Gamma M$ .



Isofrequency curves  $\omega(k_x, k_y) = \text{constant}$  for the first band. The curves are plotted for the following normalized frequencies  $a/\lambda = [0.05, 0.075, 0.1, 0.125, 0.15, 0.175, 0.19, 0.2, 0.225, 0.245, 0.265, 0.275]$ .

### 3. Negative refraction in a photonic crystal

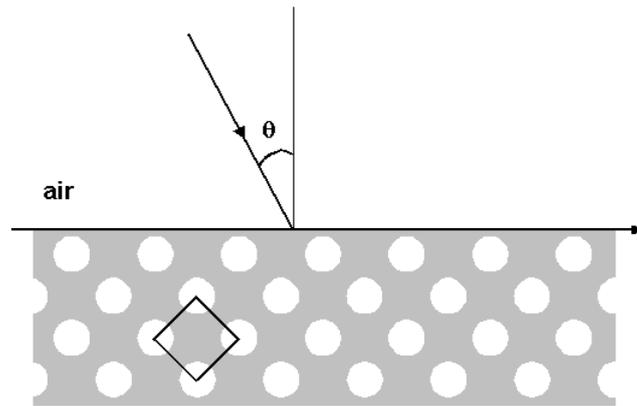
We have studied up to now the modes propagating inside the periodic medium. We consider hereafter an interface between air and the photonic crystal **with its square lattice tilted by  $45^\circ$  with respect to the interface**, see the figure below. The interface is illuminated by a plane wave in TM polarization with an oblique incidence defined by the angle  $\theta$ . We study the propagation direction of the energy inside the photonic crystal.

It can be demonstrated that the energy transported by a Bloch mode in a periodic medium propagates with a speed  $v_e$  that is equal to the group velocity  $v_g$  of the Bloch mode,

$$\mathbf{v}_e = \mathbf{v}_g = \nabla_{\mathbf{k}} \omega.$$

(a) Give the direction of the group velocity with respect to the isofrequency curves  $\omega(k_x, k_y) = \text{constant}$ .

(b) We begin with the interface between two homogeneous media with refractive indices  $n_1$  and  $n_2$ . Plot the isofrequency curves in these two media. For an incident plane wave in medium 1 with an angle  $\theta_1$ , determine graphically from the iso- $\omega$  curves the direction of the group velocity in medium 2.



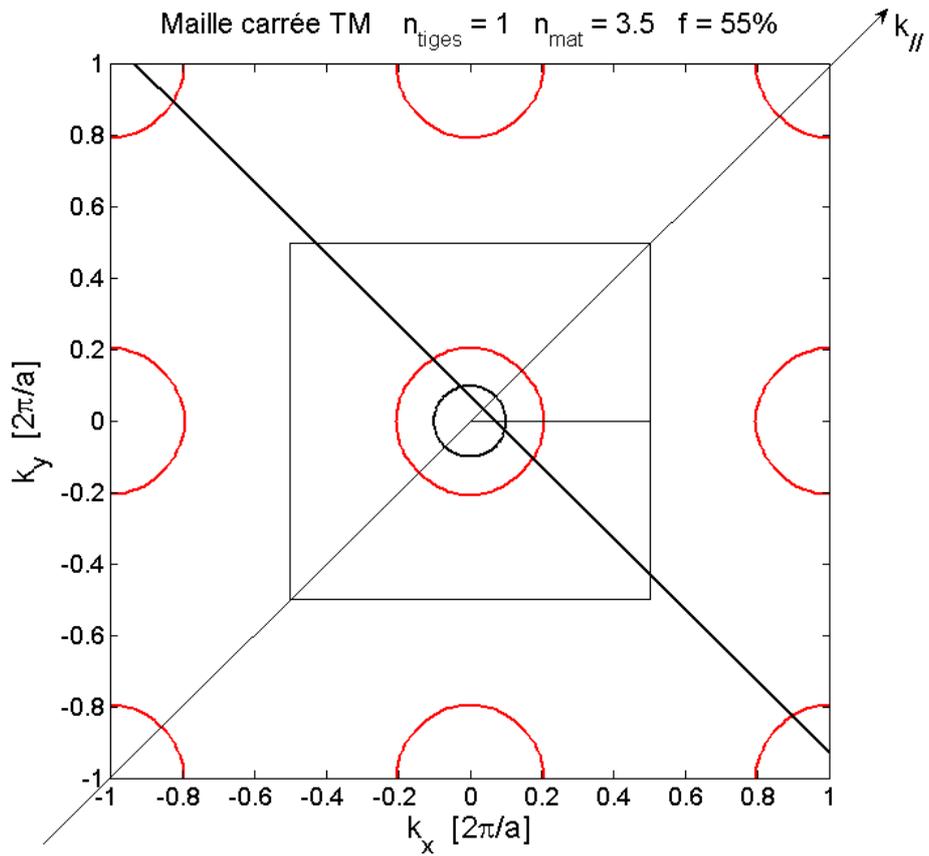
Air/photonic crystal interface. The crystal lattice is tilted by  $45^\circ$  with respect to the interface.

(c) Same question for the air/photonic crystal interface in the limit where the wavelength is much larger than the period  $\lambda \gg a$ ,  $a/\lambda = 0.1$ . What can you say about the behavior of the photonic crystal in this static limit ?

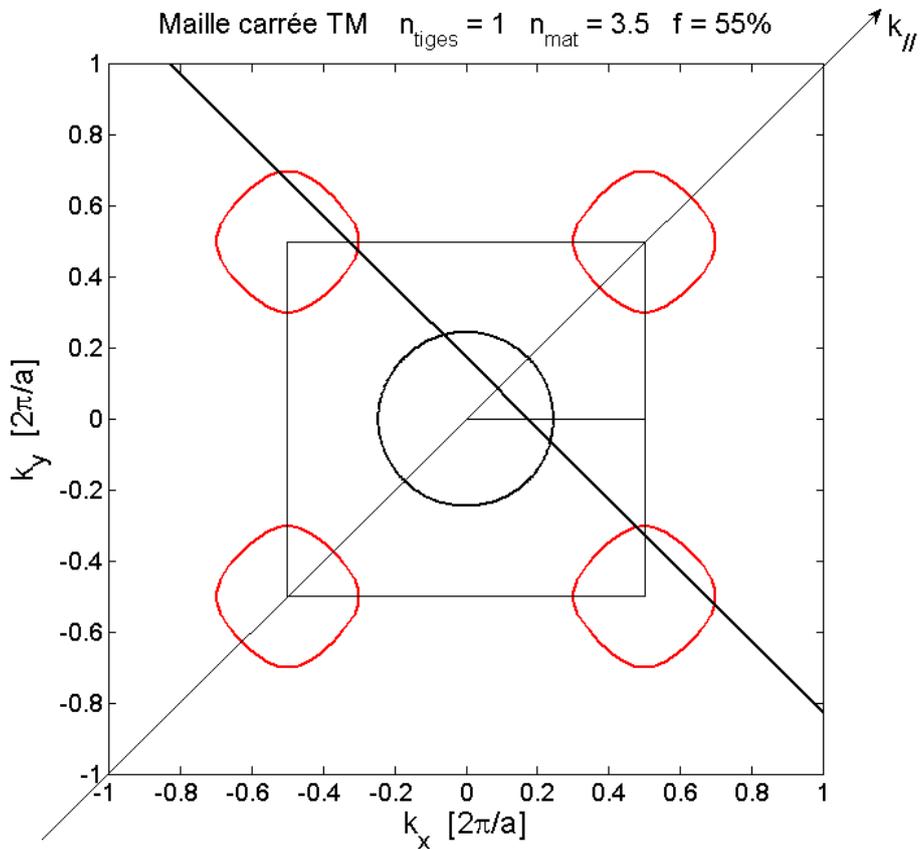
(d) Same question for a wavelength that is now close to the top of the first band,  $a/\lambda = 0.245$ .

#### 4. Autocollimation in a photonic crystal

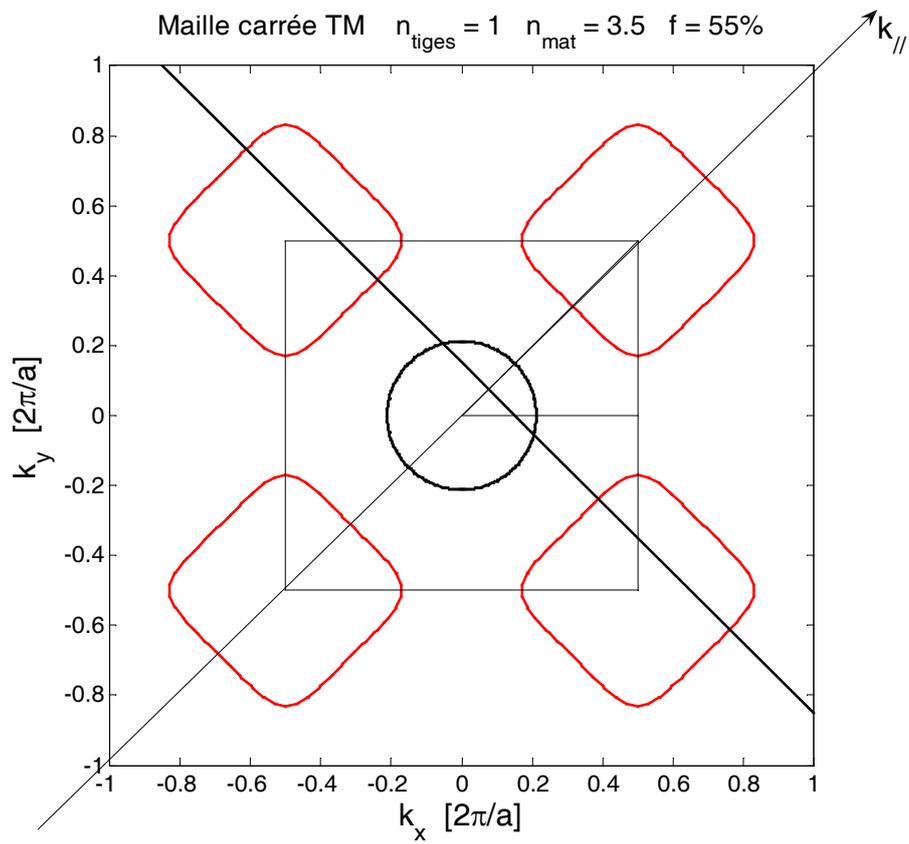
(a) Considering the same interface as previously, explain why a beam propagating at  $a/\lambda = 0.212$  in the photonic crystal is collimated and propagates with almost no diffraction.



Isosurface curve of the Bloch mode propagating inside the photonic crystal for  $a/\lambda = 0.1$ . The direction parallel to the air/photonic crystal interface is noted  $k_{\parallel}$ .



Isosurface curve of the Bloch mode propagating inside the photonic crystal for  $a/\lambda = 0.245$ . The direction parallel to the air/photonic crystal interface is noted  $k_{\parallel}$ .



Isofrequency curve of the Bloch mode propagating inside the photonic crystal for  $a/\lambda = 0.212$ . The direction parallel to the air/photonic crystal interface is noted  $k_{//}$ .